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DOCKET NO.: FCI-2746/C3190A

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Hung Viet Ngo, et al.

Application No.: 10/771,960

Filing Date: February 4, 2004

For: OPTIC FIBER CONNECTORS

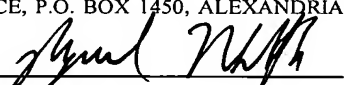
Confirmation No.:

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT: 5/6/04

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

  
TYPED NAME: Raymond N. Scott, Jr.  
REGISTRATION NO.: 48,666

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date

of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;  
or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☐ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

## EXCEPT THAT:


- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☒ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
  - ☒ Copies of references **1 thru 21** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **10/087,351**, filed **March 1, 2002**.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date:

5/6/04

  
\_\_\_\_\_  
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Sheet 1 of 3

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>FCI-2746/C3190A</b>	Application No. <b>10/771,960</b>
		Applicant <b>Hung Viet Ngo, et al.</b>	
		Filing Date <b>February 4, 2004</b>	Group <b>Not Yet Assigned</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	1	US Conec Online, "MTP® Connectors", www.usconec.com, 3 pages, 03/26/02	
	2	Fitel Global site-Products, "Optical Connectors/Cords", www.fitel.com, 1 page, 03/26/02	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	



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<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	3	4,611,887	09/16/86	Glover, et al.	350	96.21	
	4	5,619,604	04/08/97	Shiflett, et al.	385	59	
	5	5,828,805	10/27/98	Morlion, et al.	385	59	
	6	5,930,426	07/27/99	Harting, et al.	385	56	
	7	6,116,788	09/12/00	Melchior, et al.	385	59	
	8	6,123,463	09/26/00	Kashihara, et al.	385	60	
	9	6,264,371 B1	07/24/01	Badeau, et al.	385	55	
	10	6,234,682 B1	05/22/01	Nagaoka, et al.	385	78	
	11	6,257,769 B1	07/10/01	Watanabe, et al.	385	53	
	12	6,290,527 B1	09/18/01	Takaya, et al.	439	352	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Country	Translation		
					YES	NO	
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			

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<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	13	6,302,591 B1	10/16/01	Nagaoka, et al.	385	59	
	14	6,374,022 B1	04/16/02	Parmigiani, et al.	385	100	
	15	6,409,393 B1	06/25/02	Grois, et al.	385	78	
	16	6,419,402 B1	07/16/02	Zimmel	385	86	
	17	6,421,495 B1	07/16/02	Hoffmeister, et al.	385	139	
	18	6,431,783 B1	08/13/02	Nakajima, et al.	403	275	
	19	5,101,463	03/31/92	Cubukciyan, et al.	385	72	
	20	5,761,360	06/02/98	Grois, et al.	385	81	
	21	5,720,628	02/24/98	Usui, et al.	439	502	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Country	Translation		
					YES	NO	
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			